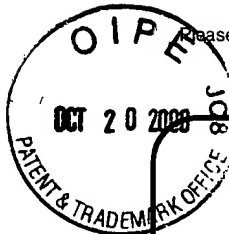


SECTOR / \$



Please type a plus sign (+) inside this box → ☐ +

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/618,766
	Filing Date	07-18-00
	First Named Inventor	Scott T. Hughes
	Group Art Unit	2756
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	K35A0625

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Declaration Utility Application Bibliographic Form Notice To File Missing Parts Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WESTERN DIGITAL CORPORATION - Milad G. Shara, 39,367
Signature	
Date	October 17, 2000

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 17, 2000	
Typed or printed name	Adriana Varela
Signature	
Date	10/17/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b>  <i>Note: Effective October 1, 1997. Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		<b>Application Number</b>	09/618,766
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 130.00		<b>Filing Date</b>	07-18-00
		<b>First Named Inventor</b>	Scott T. Hughes
		<b>Group Art Unit</b>	2756
		<b>Examiner Name</b>	UNKNOWN
		<b>Attorney Docket Number</b>	K35A0625

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 23-1209</p> <p>Deposit Account Name: WESTERN DIGITAL CORPORATION</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17    <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>		<b>3. ADDITIONAL FEES</b>																																																																																																																									
<b>1. FILING FEE</b>		<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,210</td><td>241 660</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2"></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2"></td></tr><tr><td colspan="2"><b>SUBTOTAL (1)</b> (\$)</td><td colspan="2"><b>SUBTOTAL (3)</b> (\$) 130.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	130.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 660	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				<b>SUBTOTAL (1)</b> (\$)		<b>SUBTOTAL (3)</b> (\$) 130.00	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
105 130	205 65	Surcharge - late filing fee or oath	130.00																																																																																																																								
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																									
139 130	139 130	Non-English specification																																																																																																																									
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																									
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																									
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																									
115 110	215 55	Extension for reply within first month																																																																																																																									
116 380	216 190	Extension for reply within second month																																																																																																																									
117 870	217 435	Extension for reply within third month																																																																																																																									
118 1,360	218 680	Extension for reply within fourth month																																																																																																																									
128 1,850	228 925	Extension for reply within fifth month																																																																																																																									
119 300	219 150	Notice of Appeal																																																																																																																									
120 300	220 150	Filing a brief in support of an appeal																																																																																																																									
121 260	221 130	Request for oral hearing																																																																																																																									
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																									
140 110	240 55	Petition to revive - unavoidable																																																																																																																									
141 1,210	241 660	Petition to revive - unintentional																																																																																																																									
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																									
143 430	243 215	Design issue fee																																																																																																																									
144 580	244 290	Plant issue fee																																																																																																																									
122 130	122 130	Petitions to the Commissioner																																																																																																																									
123 50	123 50	Petitions related to provisional applications																																																																																																																									
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																									
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																									
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																									
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																									
Other fee (specify) _____																																																																																																																											
Other fee (specify) _____																																																																																																																											
<b>SUBTOTAL (1)</b> (\$)		<b>SUBTOTAL (3)</b> (\$) 130.00																																																																																																																									
<b>2. CLAIMS</b>																																																																																																																											
<p>Total Claims: -20 = X Fee from below =</p> <p>Independent Claims: -3 = X Fee from below =</p> <p>Multiple Dependent Claims: X Fee from below =</p>																																																																																																																											
<b>Large Entity Small Entity</b>																																																																																																																											
<table border="1"><thead><tr><th>Fee Code (\$)</th><th>Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim</td><td></td></tr><tr><td>109 78</td><td>209 39</td><td>Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table>		Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim		109 78	209 39	Reissue independent claims over original patent		110 18	210 9	Reissue claims in excess of 20 and over original patent																																																																																																			
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
103 18	203 9	Claims in excess of 20																																																																																																																									
102 78	202 39	Independent claims in excess of 3																																																																																																																									
104 260	204 130	Multiple dependent claim																																																																																																																									
109 78	209 39	Reissue independent claims over original patent																																																																																																																									
110 18	210 9	Reissue claims in excess of 20 and over original patent																																																																																																																									
<b>SUBTOTAL (2)</b> (\$)																																																																																																																											

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Milad G. Shara, Esq.	Reg. Number	39,367
Signature		Date	10/17/00
		Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/618,766	07/18/2000	Scott T. Hughes	K35A0625

Western Digital Corporation  
Milad G Shara Esq  
8105 Irvine Center Drive  
Plaza 3  
Irvine, CA 92618



## FORMALITIES LETTER



\*OC000000005377122\*

Date Mailed: 09/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Adjustment date: 10/30/2000 HND001  
10/23/2000 HND001 00000153 231209 09600766  
01 FC:105 130.00 CR

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/23/2000 HND001 00000153 231209 09600766  
01 FC:105 130.00 CH

10/30/2000 HND001 00000002 231209 09618766  
01 FC:105 130.00 CH